

UNITED STATES
PATENT AND TRADEMARK OFFICE



Patent Public Advisory Committee Quarterly Meeting

Patent Operations

Andrew Faile

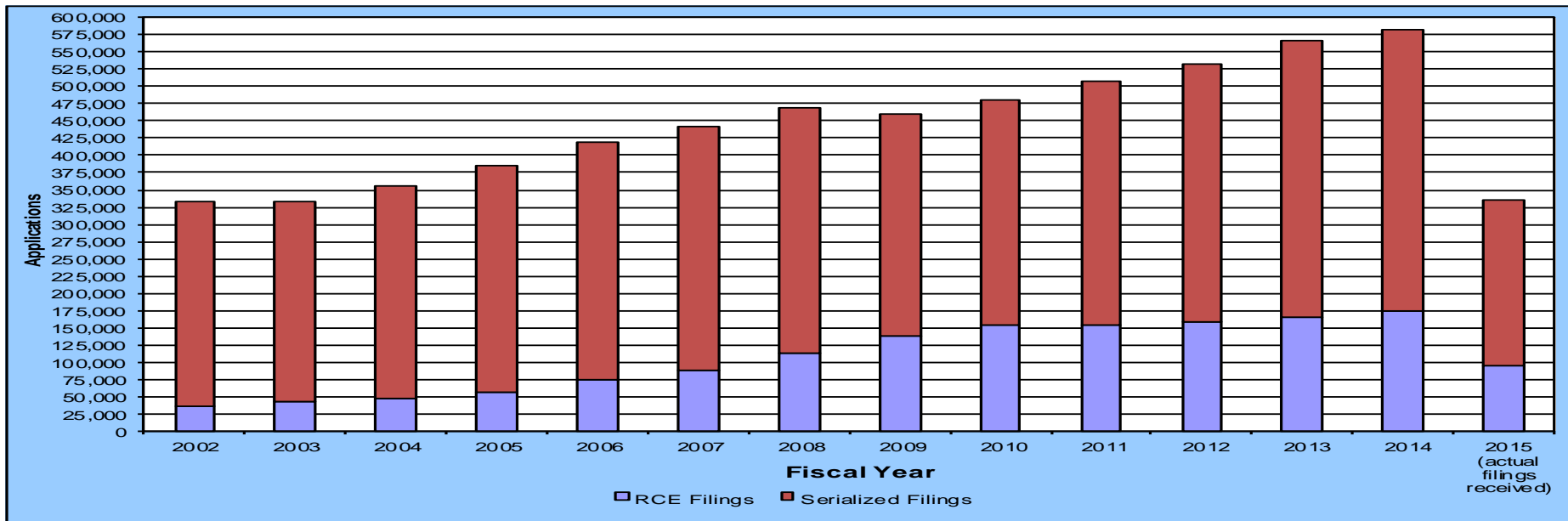
Deputy Commissioner for Patent Operations
May 14, 2015

UNITED STATES
PATENT AND TRADEMARK OFFICE



Total Serialized and RCE Filings

FY 2002 – FY 2015 (through May 7)



336,018 filings received as of May 7, 2015.

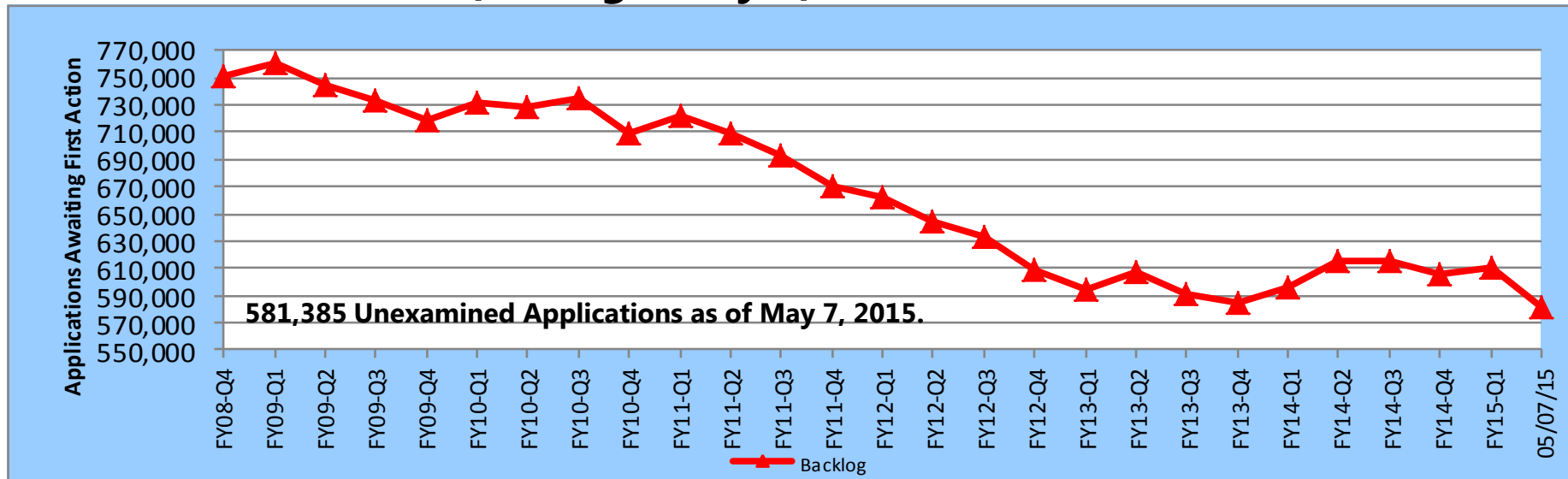
FY 2015 total UPR filing growth rate is projected to be -1.8% over FY 2014.

FY 2014 total UPR filing growth rate was 2.8% (582,203 applications).



Unexamined Patent Application Inventory

FY 2009 – FY 2015 (through May 7)

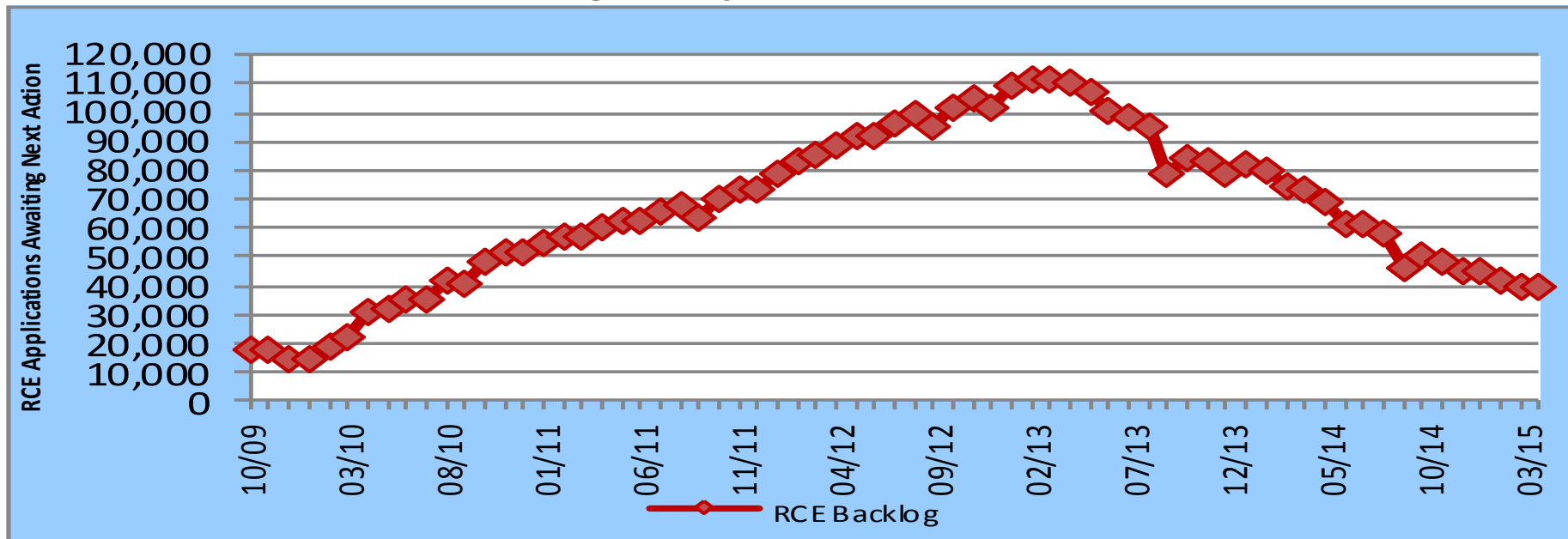


FY 2014 Result: 605,646.



RCE Inventory

FY 2010 – FY 2015 (through May 7)



37,302 as of May 7, 2015.

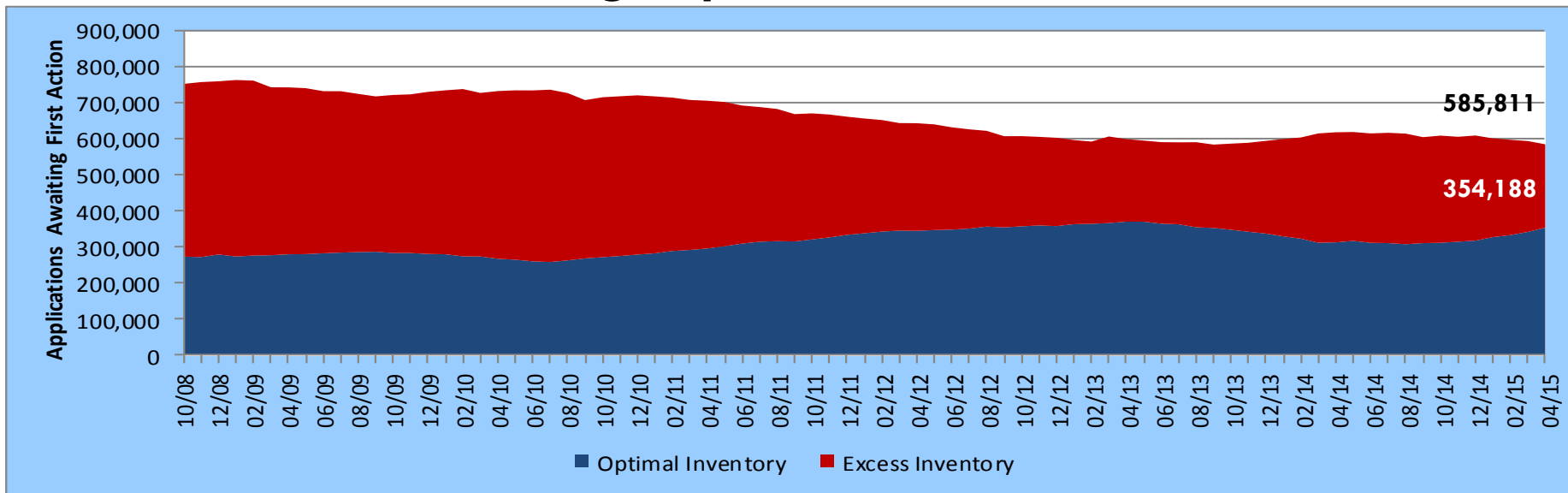
FY 2014 result: 46,441.

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Excess and Optimal

Unexamined Patent Application Inventory

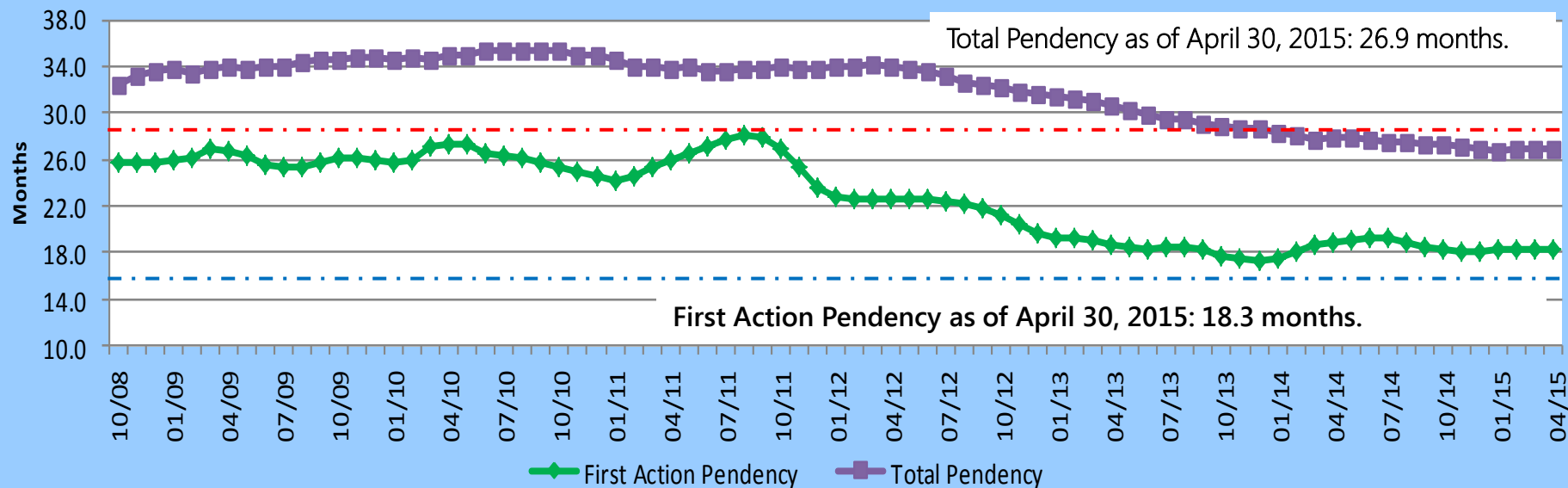
FY 2009 – FY 2015 (through April)



231,623 Excess Unexamined Applications as of April 2015.

First Action Pendency and Total Pendency

FY 2009 – FY 2015 (through April)



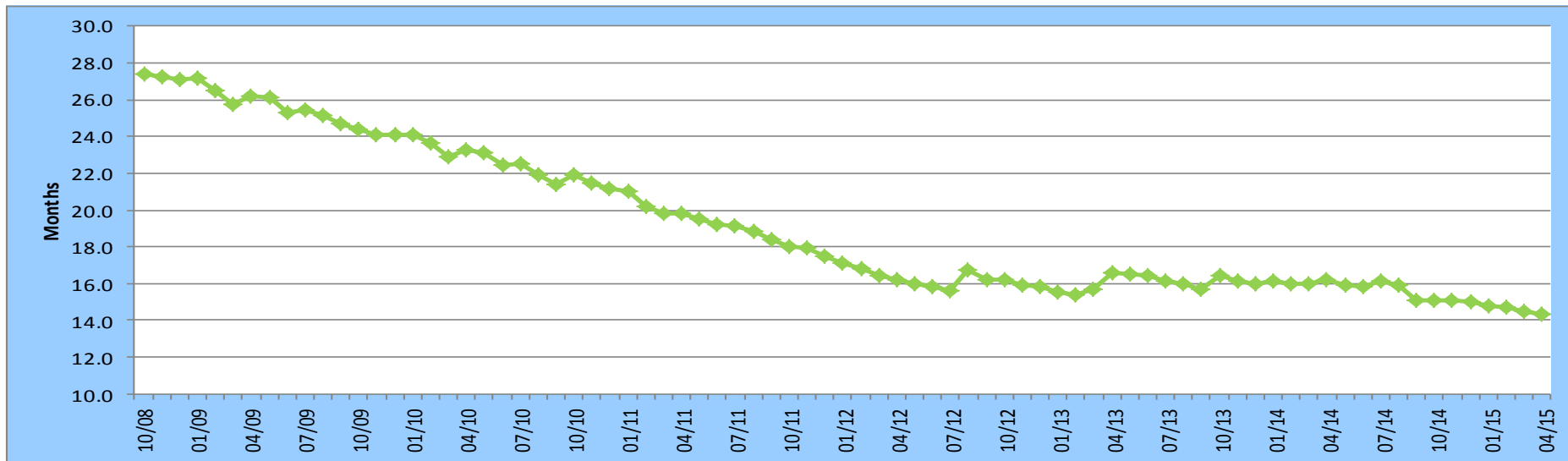
Average Total Pendency FY 2015 Target (2016 President's Budget): 27.7 months

Average First Action Pendency FY 2015 Target (2016 President's Budget): 16.4 months



Forward Looking First Action Pendency

FY 2009 – FY 2015 (April)

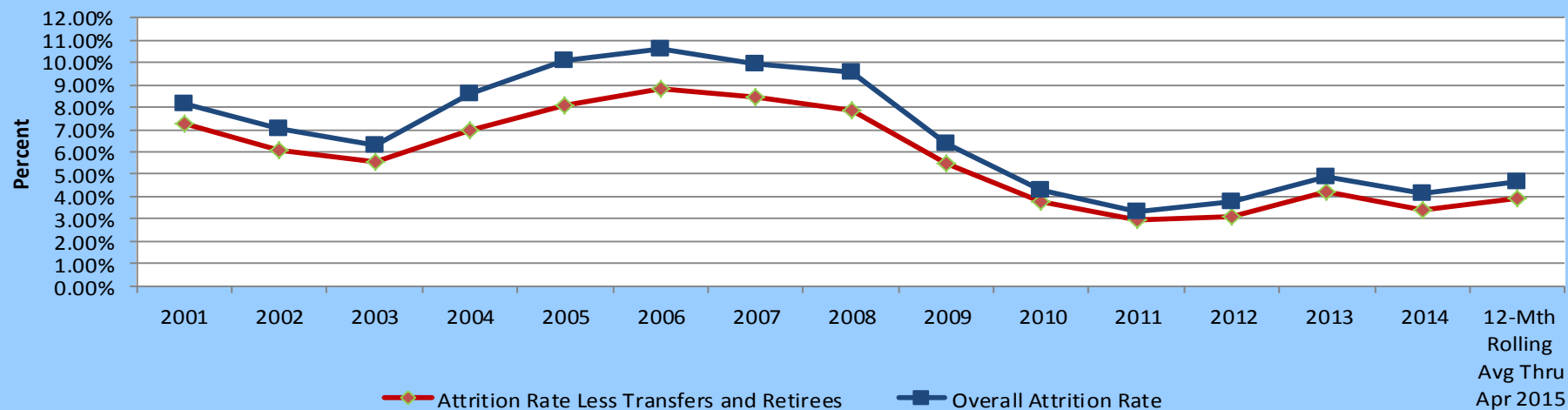


Forward Looking First Action Pendency as of April: 14.3 months

Forward Looking Pendency represents an estimate of the average number of months it would take to complete a first Office action under current and projected workload and resource levels for an application filed at the given date.



Average UPR Examiner Attrition Rate Less Transfers and Retirees and Overall Attrition Rate FY 2001 – FY 2015 (April)



12-Month Rolling Average Attrition Rate Less Transfers and Retirees: 3.91%

12-Month Rolling Average Overall Attrition Rate: 4.63%

Track One Filings

(through May 7)

Petitions Received	October	November	December	January	February	March	April	May	June	July	August	September	Total
FY 11												855	855
FY 12	390	302	285	292	371	442	457	516	436	470	517	559	5,037
FY 13	505	513	575	504	468	1,029	400	508	530	584	642	636	6,894
FY 14	715	570	732	650	629	1,028	822	803	795	796	673	911	9,124
FY 15	833	634	758	662	665	866	774	94					5,286

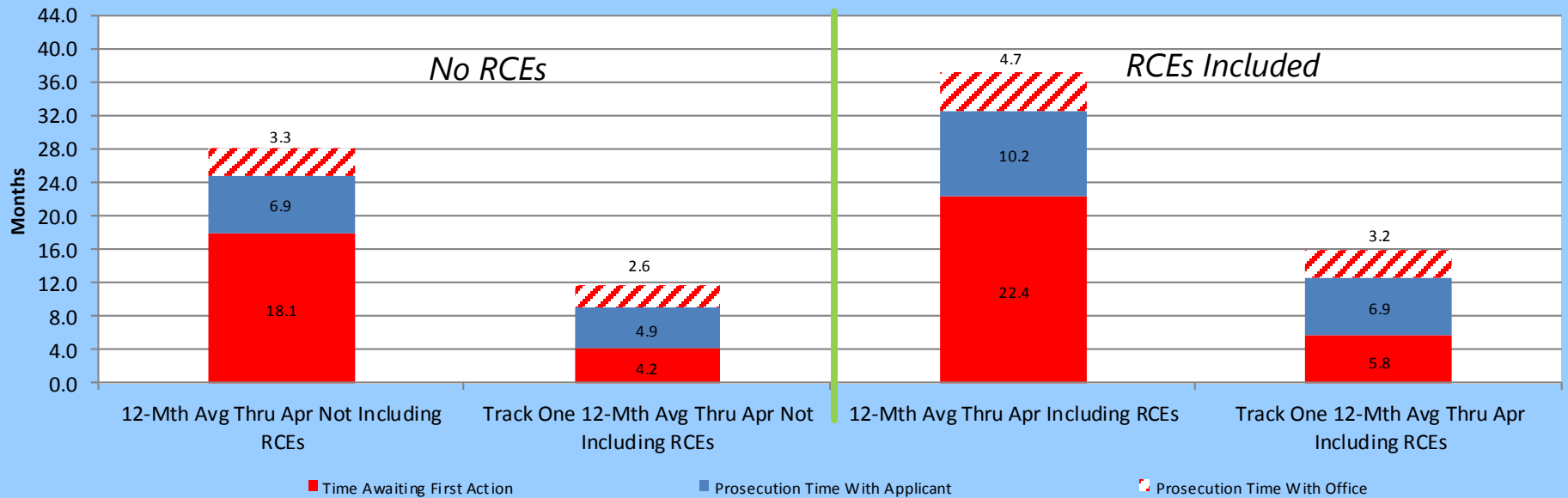
Percent of Small and Micro Entity Applications	*Number of Micro Entity Applications	Track One Requests Filed After an RCE
52.0%	1,210	2,023

*Micro entity status was implemented March 19, 2013

<http://www.uspto.gov/patent/initiatives/usptos-prioritized-patent-examination-program>

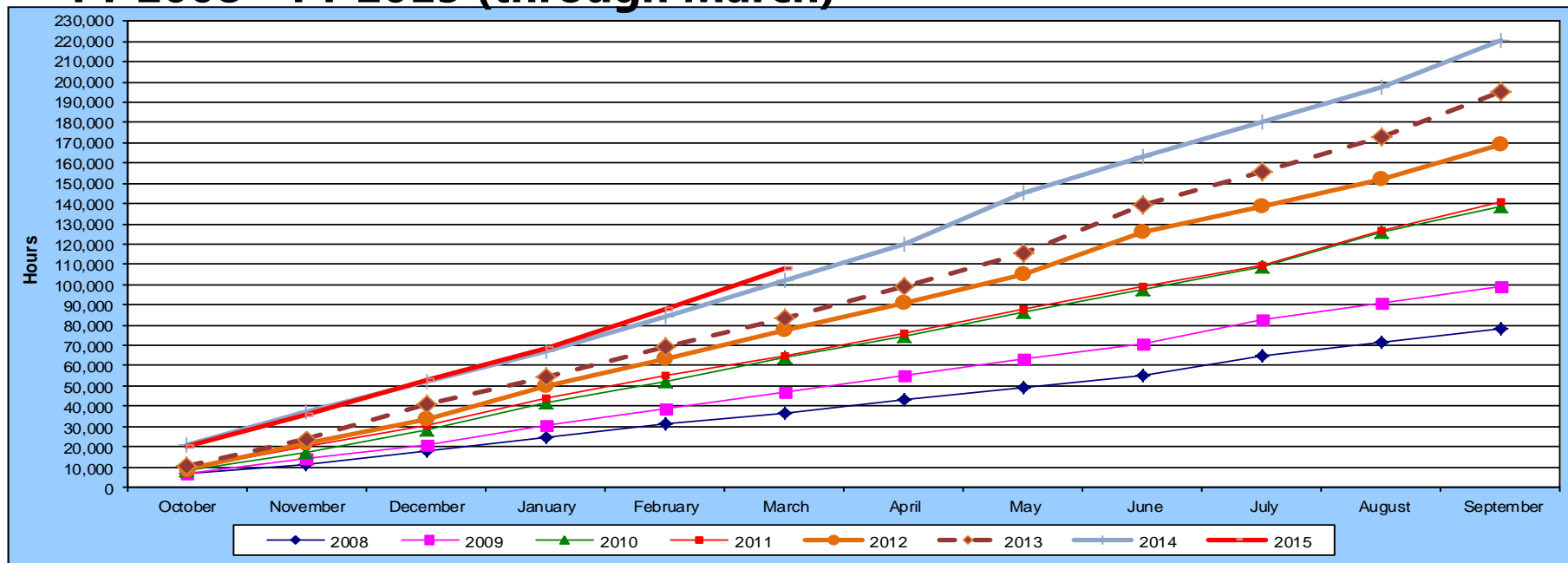


Track One Office Time/Applicant Time – Track One Vs. Total Pendency (12-month Rolling Average through April)



Interview Time

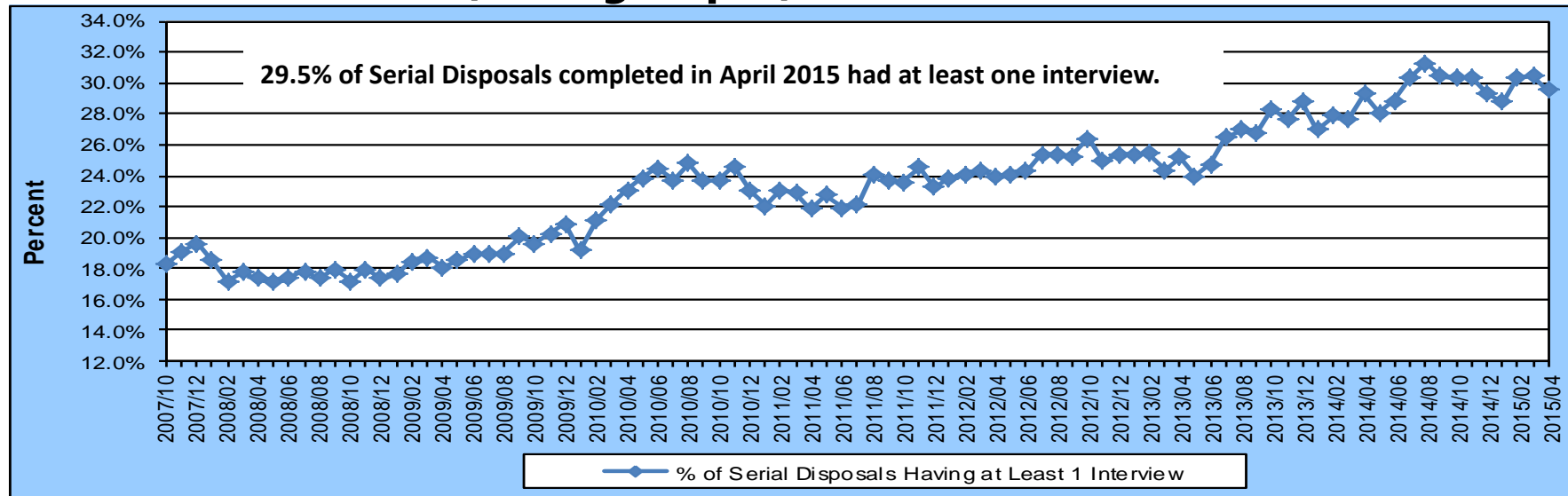
FY 2008 – FY 2015 (through March)



107,899 hours in March FY 2015, compared with 102,070 hours at the equivalent time in FY 2014.

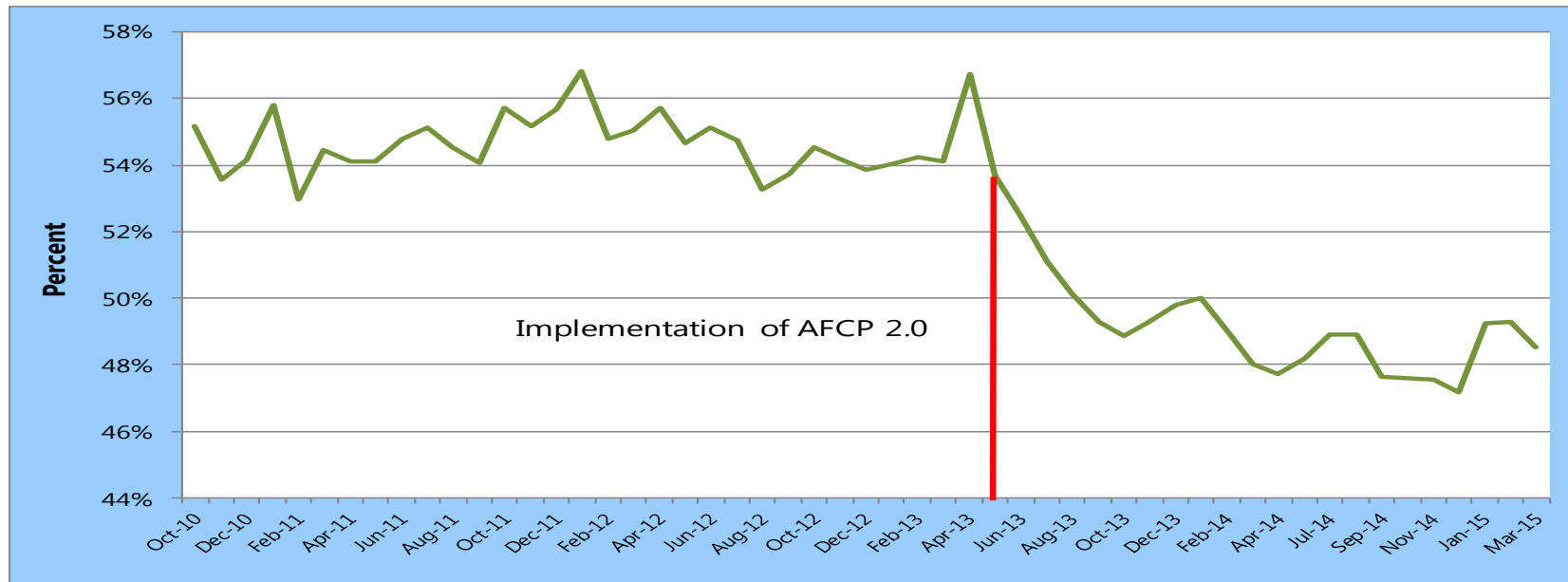
Percent of Serial Disposals Having at Least One Interview by Month

FY 2008 – FY 2015 (through April)



AFCP 2.0

Percent of RCEs with no prior After Final submission

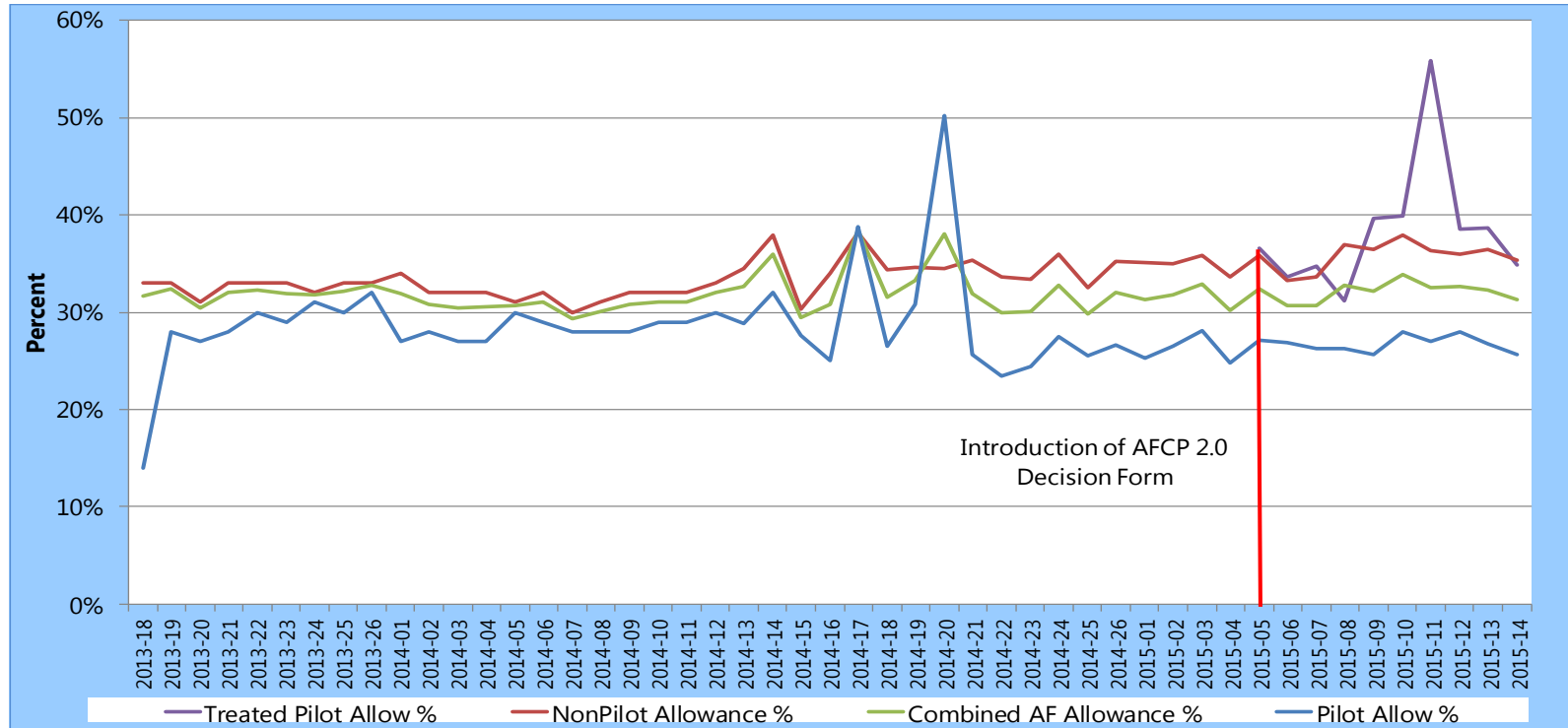


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Note: AFCP 2.0 launched on May 19th, 2013

AFCP 2.0

AFCP 2.0 Allowance Rate by Pay Period

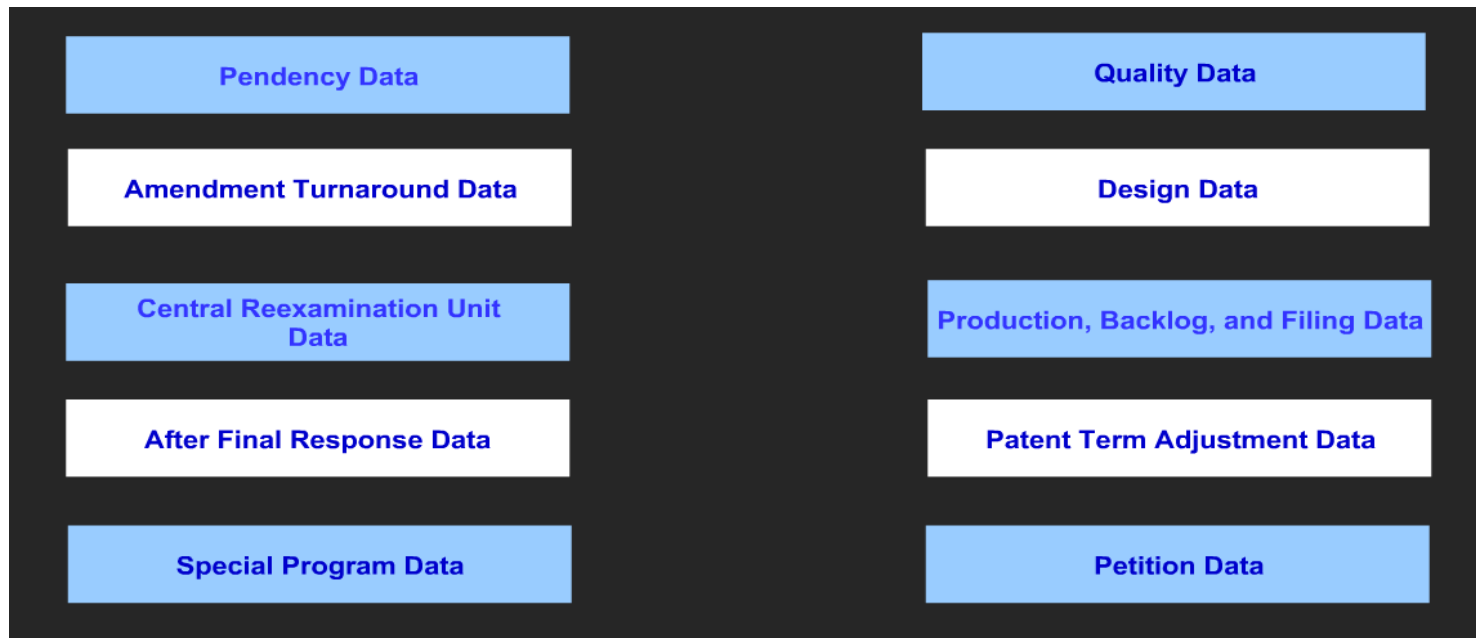


Note: AFCP 2.0 Decision form was implemented in Dec. 2014

Quick Path Information Disclosure Statement (QPIDS) FY 2012 – FY 2015 (April 24)

QPIDS	FY 12	FY 13	FY 14	FY 15	Total
Total Number filed	491	1,355	2,425	1,658	5,929
Total QPIDS completed process	327	1,169	2,240	1,516	5,252
• # Corrected NOAs mailed	280	997	1,933	1,321	4,531
• Total # of RCEs processed	47	172	307	195	721

Patents Dashboard



<http://www.uspto.gov/dashboards/patents/main.dashxml>



Questions and Comments

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